

UNCLASSIFIED



**MICROSOFT SQL SERVER 2014  
SECURITY TECHNICAL IMPLEMENTATION GUIDE  
(STIG) OVERVIEW**

**27 January 2022**

**Developed by DISA for the DoD**

UNCLASSIFIED

### **Trademark Information**

Names, products, and services referenced within this document may be the trade names, trademarks, or service marks of their respective owners. References to commercial vendors and their products or services are provided strictly as a convenience to our users, and do not constitute or imply endorsement by DISA of any non-Federal entity, event, product, service, or enterprise.

TABLE OF CONTENTS

	<b>Page</b>
<b>1. INTRODUCTION.....</b>	<b>1</b>
1.1 Executive Summary .....	1
1.2 Authority .....	1
1.3 Vulnerability Severity Category Code Definitions .....	1
1.4 STIG Distribution.....	2
1.5 SRG Compliance Reporting.....	2
1.6 Document Revisions .....	2
1.7 Other Considerations.....	2
1.8 Product Approval Disclaimer.....	3
<b>2. ASSESSMENT CONSIDERATIONS.....</b>	<b>4</b>
2.1 Security Assessment Information .....	4
<b>3. CONCEPTS AND TERMINOLOGY CONVENTIONS.....</b>	<b>5</b>

**LIST OF TABLES**

	<b>Page</b>
Table 1-1: Vulnerability Severity Category Code Definitions .....	1

## 1. INTRODUCTION

### 1.1 Executive Summary

The Microsoft SQL Server 2014 STIG, published as two documents, one covering individual databases and the other for the database management system (DBMS) instance, provides the technical security policies, requirements, and implementation details for applying security concepts to Microsoft SQL Server 2014.

This guidance is to be used in conjunction with the applicable Windows and network STIGs to provide comprehensive coverage of pertinent vulnerabilities.

### 1.2 Authority

DoD Instruction (DoDI) 8500.01 requires that “all IT that receives, processes, stores, displays, or transmits DoD information will be [...] configured [...] consistent with applicable DoD cybersecurity policies, standards, and architectures” and tasks that Defense Information Systems Agency (DISA) “develops and maintains control correlation identifiers (CCIs), security requirements guides (SRGs), security technical implementation guides (STIGs), and mobile code risk categories and usage guides that implement and are consistent with DoD cybersecurity policies, standards, architectures, security controls, and validation procedures, with the support of the NSA/CSS, using input from stakeholders, and using automation whenever possible.” This document is provided under the authority of DoDI 8500.01.

Although the use of the principles and guidelines in these SRGs/STIGs provides an environment that contributes to the security requirements of DoD systems, applicable NIST SP 800-53 cybersecurity controls need to be applied to all systems and architectures based on the Committee on National Security Systems (CNSS) Instruction (CNSSI) 1253.

### 1.3 Vulnerability Severity Category Code Definitions

Severity Category Codes (referred to as CAT) are a measure of vulnerabilities used to assess a facility or system security posture. Each security policy specified in this document is assigned a Severity Category Code of CAT I, II, or III.

**Table 1-1: Vulnerability Severity Category Code Definitions**

	<b>DISA Category Code Guidelines</b>
CAT I	Any vulnerability, the exploitation of which will <b>directly and immediately</b> result in loss of Confidentiality, Availability, or Integrity.
CAT II	Any vulnerability, the exploitation of which <b>has a potential</b> to result in loss of Confidentiality, Availability, or Integrity.
CAT III	Any vulnerability, the existence of which <b>degrades measures</b> to protect against loss of Confidentiality, Availability, or Integrity.

## 1.4 STIG Distribution

Parties within the DoD and Federal Government's computing environments can obtain the applicable STIG from the Cyber Exchange website at <https://cyber.mil/>. This site contains the latest copies of STIGs, SRGs, and other related security information. Those without a Common Access Card (CAC) that has DoD Certificates can obtain the STIG from <https://public.cyber.mil/>.

## 1.5 SRG Compliance Reporting

All technical NIST SP 800-53 requirements were considered while developing this STIG. Requirements that are applicable and configurable will be included in the final STIG. A report marked Controlled Unclassified Information (CUI) will be available for those items that did not meet requirements. This report will be available to component Authorizing Official (AO) personnel for risk assessment purposes by request via email to: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil).

## 1.6 Document Revisions

Comments or proposed revisions to this document should be sent via email to the following address: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). DISA will coordinate all change requests with the relevant DoD organizations before inclusion in this document. Approved changes will be made in accordance with the DISA maintenance release schedule.

## 1.7 Other Considerations

DISA accepts no liability for the consequences of applying specific configuration settings made on the basis of the SRGs/STIGs. It must be noted that the configuration settings specified should be evaluated in a local, representative test environment before implementation in a production environment, especially within large user populations. The extensive variety of environments makes it impossible to test these configuration settings for all potential software configurations.

For some production environments, failure to test before implementation may lead to a loss of required functionality. Evaluating the risks and benefits to a system's particular circumstances and requirements is the system owner's responsibility. The evaluated risks resulting from not applying specified configuration settings must be approved by the responsible Authorizing Official. Furthermore, DISA implies no warranty that the application of all specified configurations will make a system 100 percent secure.

Security guidance is provided for the Department of Defense. While other agencies and organizations are free to use it, care must be given to ensure that all applicable security guidance is applied both at the device hardening level as well as the architectural level due to the fact that some of the settings may not be able to be configured in environments outside the DoD architecture.

## 1.8 Product Approval Disclaimer

The existence of a STIG does not equate to DoD approval for the procurement or use of a product.

STIGs provide configurable operational security guidance for products being used by the DoD. STIGs, along with vendor confidential documentation, also provide a basis for assessing compliance with Cybersecurity controls/control enhancements, which supports system Assessment and Authorization (A&A) under the DoD Risk Management Framework (RMF). DoD Authorizing Officials (AOs) may request available vendor confidential documentation for a product that has a STIG for product evaluation and RMF purposes from [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). This documentation is not published for general access to protect the vendor's proprietary information.

AOs have the purview to determine product use/approval IAW DoD policy and through RMF risk acceptance. Inputs into acquisition or pre-acquisition product selection include such processes as:

- National Information Assurance Partnership (NIAP) evaluation for National Security Systems (NSS) (<http://www.niap-ccevs.org/>) IAW CNSSP #11
- National Institute of Standards and Technology (NIST) Cryptographic Module Validation Program (CMVP) (<http://csrc.nist.gov/groups/STM/cmvp/>) IAW Federal/DoD mandated standards
- DoD Unified Capabilities (UC) Approved Products List (APL) (<http://www.disa.mil/network-services/ucco>) IAW DoDI 8100.04

## **2. ASSESSMENT CONSIDERATIONS**

### **2.1 Security Assessment Information**

While the STIG contents are classified as manual guidance, many checks and fixes include sample and/or actual code for executing the checks and fixes. Additional code is provided in the Supplemental folder in each STIG package.

This guidance is but one component of a robust defense in depth. As noted above, it is to be used along with the applicable Windows and network STIGs to provide comprehensive coverage of pertinent vulnerabilities. It is also necessary to train administrative and general users in the importance of good security practices.



### 3. CONCEPTS AND TERMINOLOGY CONVENTIONS

SQL Server 2014 is a relational database management system (DBMS), together with associated software tools.

The typical smaller SQL Server deployment consists of one *instance* of the DBMS software servicing one or more *databases*, which are collections of data, stored in files and managed not by the operating system (OS) alone but by the DBMS. (This contrasts with some other DBMS products, such as Oracle, which typically have one database per instance or even, in clustered installations, multiple instances per database.)

Larger, clustered SQL Server deployments have primary and secondary instances of the DBMS software, each running on its own server, and typically servicing a single, shared database housed on dedicated storage systems. The secondary server takes over control of the database if the primary server fails.

Databases contain *objects*, most notably *tables* of data. *Constraints* enforce validation rules on table contents. *Indexes* support performance tuning by providing faster lookup of data. Other object types include *views*, *stored procedures*, *functions*, and *triggers*, all of which enable database administrators and application developers to augment the functionality of the database. Objects are grouped into *schemas*, which can be used both for ease of understanding and for security management.

Access to objects is controlled by SQL Server's security subsystem. A wide range of permissions and privileges exist, which the administrator grants to individuals' accounts, usually via roles. An account at the DBMS instance level is called a *login*, which will be a member of one or more *server roles*. An account at the database level is a *user* and is a member of one or more *roles*. Almost always, a user is associated with a login. The collective term for users, roles, logins, and server roles is *principals*.

The language used for data definition, data manipulation, security management, etc., is Structured Query Language (SQL). SQL Server extends this declarative language with Transact-SQL (T-SQL), which provides the power of a programming language within the database itself. Programs written in T-SQL are known as stored procedures. Via Microsoft's Common Language Runtime (CLR), other languages can also be used to create stored procedures.

Applications communicate with SQL Server by sending it SQL or T-SQL commands — usually known as queries — that typically are invocations of stored procedures. Applications can be written in any language capable of handling SQL Server's interface conventions. Applications may run on the same server as the DBMS but typically — and especially in the Department of Defense — will run on dedicated web servers or client machines.