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**MICROSOFT (MS) SQL SERVER 2016  
SECURITY TECHNICAL IMPLEMENTATION GUIDE  
(STIG) OVERVIEW**

**27 April 2022**

**Developed by Microsoft and DISA for the DoD**

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## 1. INTRODUCTION

### 1.1 Executive Summary

The Microsoft SQL Server 2016 Security Technical Implementation Guide (STIG) is published as two documents, one covering individual databases and the other addressing the database management system (DBMS) instance. The STIG provides the technical security policies, requirements, and implementation details for applying security concepts to Microsoft SQL Server 2016. This SQL Server 2016 STIG contains the following STIGs:

- Microsoft SQL Server 2016 Instance STIG
- Microsoft SQL Server 2016 Database STIG

This guidance is to be used in conjunction with the applicable Windows and network STIGs to provide comprehensive coverage of pertinent vulnerabilities.

In the Microsoft SQL Server domain, a “database” (often abbreviated as DB) is a logical collection of data stored in files and managed not by the operating system alone but by the Database Management System (DBMS). Multiple databases may exist under one DBMS instance. There are always at least four system databases supporting an instance, and there will normally be one database (possibly more) supporting an application.

In the Microsoft SQL Server domain, an “instance” is one installed, operational copy of the DBMS software. Although multiple SQL Server instances can coexist on a Windows server, it is customary in a production environment for a single instance to be deployed on a dedicated server.

### 1.2 Authority

Department of Defense Instruction (DoDI) 8500.01 requires that “all IT [information technology] that receives, processes, stores, displays, or transmits DoD information will be [...] configured [...] consistent with applicable DoD cybersecurity policies, standards, and architectures.” The instruction tasks that DISA “develops and maintains control correlation identifiers (CCIs), security requirements guides (SRGs), security technical implementation guides (STIGs), and mobile code risk categories and usage guides that implement and are consistent with DoD cybersecurity policies, standards, architectures, security controls, and validation procedures, with the support of the NSA/CSS [National Security Agency/Central Security Service], using input from stakeholders, and using automation whenever possible.” This document is provided under the authority of DoDI 8500.01.

Although the use of the principles and guidelines in these SRGs/STIGs provides an environment that contributes to the security requirements of DoD systems, applicable NIST SP 800-53 cybersecurity controls must be applied to all systems and architectures based on the Committee on National Security Systems (CNSS) Instruction (CNSSI) 1253.

### 1.3 Vulnerability Severity Category Code Definitions

Severity Category Codes (referred to as CAT) are a measure of vulnerabilities used to assess a facility or system security posture. Each security policy specified in this document is assigned a Severity Category Code of CAT I, II, or III.

**Table 1-1: Vulnerability Severity Category Code Definitions**

	DISA Category Code Guidelines
CAT I	Any vulnerability, the exploitation of which will <b>directly and immediately</b> result in loss of Confidentiality, Availability, or Integrity.
CAT II	Any vulnerability, the exploitation of which <b>has a potential</b> to result in loss of Confidentiality, Availability, or Integrity.
CAT III	Any vulnerability, the existence of which <b>degrades measures</b> to protect against loss of Confidentiality, Availability, or Integrity.

### 1.4 STIG Distribution

Parties within the DoD and federal government's computing environments can obtain the applicable STIG from the DoD Cyber Exchange website at <https://cyber.mil/>. This site contains the latest copies of STIGs, SRGs, and other related security information. Those without a Common Access Card (CAC) that has DoD Certificates can obtain the STIG from <https://public.cyber.mil/>.

### 1.5 SRG Compliance Reporting

All technical NIST SP 800-53 requirements were considered while developing this STIG. Requirements that are applicable and configurable will be included in the final STIG. A report marked Controlled Unclassified Information (CUI) will be available for those items that did not meet requirements. This report will be available to component Authorizing Official (AO) personnel for risk assessment purposes by request via email to: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil).

### 1.6 Document Revisions

All technical NIST SP 800-53 requirements were considered while developing this STIG. Requirements that are applicable and configurable will be included in the final STIG. A report marked Controlled Unclassified Information (CUI) will be available for items that did not meet requirements. This report will be available to component authorizing official (AO) personnel for risk assessment purposes by request via email to: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil).

### 1.7 Other Considerations

DISA accepts no liability for the consequences of applying specific configuration settings made on the basis of the SRGs/STIGs. It must be noted that the configuration settings specified should be evaluated in a local, representative test environment before implementation in a production

environment, especially within large user populations. The extensive variety of environments makes it impossible to test these configuration settings for all potential software configurations.

For some production environments, failure to test before implementation may lead to a loss of required functionality. Evaluating the risks and benefits to a system's particular circumstances and requirements is the system owner's responsibility. The evaluated risks resulting from not applying specified configuration settings must be approved by the responsible AO. Furthermore, DISA implies no warranty that the application of all specified configurations will make a system 100 percent secure.

Security guidance is provided for the DoD. While other agencies and organizations are free to use it, care must be given to ensure that all applicable security guidance is applied at both the device hardening level and the architectural level due to the fact that some settings may not be configurable in environments outside the DoD architecture.

## 1.8 Product Approval Disclaimer

The existence of a STIG does not equate to DoD approval for the procurement or use of a product.

STIGs provide configurable operational security guidance for products being used by the DoD. STIGs, along with vendor confidential documentation, also provide a basis for assessing compliance with cybersecurity controls/control enhancements, which supports system assessment and authorization (A&A) under the DoD Risk Management Framework (RMF). Department of Defense AOs may request available vendor confidential documentation for a product that has a STIG for product evaluation and RMF purposes from [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). This documentation is not published for general access to protect the vendor's proprietary information.

AOs have the purview to determine product use/approval in accordance with (IAW) DoD policy and through RMF risk acceptance. Inputs into acquisition or pre-acquisition product selection include such processes as:

- National Information Assurance Partnership (NIAP) evaluation for National Security Systems (NSS) (<https://www.niap-ccevs.org/>) IAW CNSSP #11
- National Institute of Standards and Technology (NIST) Cryptographic Module Validation Program (CMVP) (<https://csrc.nist.gov/groups/STM/cmvp/>) IAW Federal/DoD mandated standards
- DoD Unified Capabilities (UC) Approved Products List (APL) (<https://www.disa.mil/network-services/ucco>) IAW DoDI 8100.04