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**IBM DATAPOWER  
APPLICATION LAYER GATEWAY (ALG)  
SECURITY TECHNICAL IMPLEMENTATION GUIDE  
(STIG) OVERVIEW**

**Version 1, Release 1**

**25 January 2016**

**Developed by IBM and DISA for the DoD**

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## 1. INTRODUCTION

### 1.1 Executive Summary

The IBM DataPower ALG Security Technical Implementation Guide (STIG) provides the technical security policies, requirements, and implementation details for applying security concepts to the IBM DataPower platform (physical and virtual machine).

This document is meant for use in conjunction with the IBM DataPower Network Device Management (NDM) STIG and is required to be used for each deployment of the IBM DataPower Gateway.

### 1.2 Authority

DoD Instruction (DoDI) 8500.01 requires that “all IT that receives, processes, stores, displays, or transmits DoD information will be [...] configured [...] consistent with applicable DoD cybersecurity policies, standards, and architectures” and tasks that Defense Information Systems Agency (DISA) “develops and maintains control correlation identifiers (CCIs), security requirements guides (SRGs), security technical implementation guides (STIGs), and mobile code risk categories and usage guides that implement and are consistent with DoD cybersecurity policies, standards, architectures, security controls, and validation procedures, with the support of the NSA/CSS, using input from stakeholders, and using automation whenever possible.” This document is provided under the authority of DoDI 8500.01.

Although the use of the principles and guidelines in these SRGs/STIGs provides an environment that contributes to the security requirements of DoD systems, applicable NIST SP 800-53 cybersecurity controls need to be applied to all systems and architectures based on the Committee on National Security Systems (CNSS) Instruction (CNSSI) 1253.

### 1.3 Vulnerability Severity Category Code Definitions

Severity Category Codes (referred to as CAT) are a measure of vulnerabilities used to assess a facility or system security posture. Each security policy specified in this document is assigned a Severity Category Code of CAT I, II, or III.

**Table 1-1: Vulnerability Severity Category Code Definitions**

	<b>DISA Category Code Guidelines</b>
CAT I	Any vulnerability, the exploitation of which will <b>directly and immediately</b> result in loss of Confidentiality, Availability, or Integrity.
CAT II	Any vulnerability, the exploitation of which <b>has a potential</b> to result in loss of Confidentiality, Availability, or Integrity.
CAT III	Any vulnerability, the existence of which <b>degrades measures</b> to protect against loss of Confidentiality, Availability, or Integrity.

#### 1.4 STIG Distribution

Parties within the DoD and Federal Government's computing environments can obtain the applicable STIG from the Information Assurance Support Environment (IASE) website. This site contains the latest copies of any STIGs, SRGs, and other related security information. The address for the IASE site is <http://iase.disa.mil/>.

#### 1.5 Document Revisions

Comments or proposed revisions to this document should be sent via email to the following address: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). DISA will coordinate all change requests with the relevant DoD organizations before inclusion in this document. Approved changes will be made in accordance with the DISA maintenance release schedule.

#### 1.6 Other Considerations

DISA accepts no liability for the consequences of applying specific configuration settings made on the basis of the SRGs/STIGs. It must be noted that the configuration settings specified should be evaluated in a local, representative test environment before implementation in a production environment, especially within large user populations. The extensive variety of environments makes it impossible to test these configuration settings for all potential software configurations.

For some production environments, failure to test before implementation may lead to a loss of required functionality. Evaluating the risks and benefits to a system's particular circumstances and requirements is the system owner's responsibility. The evaluated risks resulting from not applying specified configuration settings must be approved by the responsible Authorizing Official. Furthermore, DISA implies no warranty that the application of all specified configurations will make a system 100 percent secure.

Security guidance is provided for the Department of Defense. While other agencies and organizations are free to use it, care must be given to ensure that all applicable security guidance is applied both at the device hardening level as well as the architectural level due to the fact that some of the settings may not be able to be configured in environments outside the DoD architecture.

## 1.7 Product Approval Disclaimer

The existence of a STIG does not equate to DoD approval for the procurement or use of a product.

STIGs provide configurable operational security guidance for products being used by the DoD. STIGs, along with vendor confidential documentation, also provide a basis for assessing compliance with Cybersecurity controls/control enhancements, which supports system Assessment and Authorization (A&A) under the DoD Risk Management Framework (RMF). DoD Authorizing Officials (AOs) may request available vendor confidential documentation for a product that has a STIG for product evaluation and RMF purposes from [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). This documentation is not published for general access to protect the vendor's proprietary information.

AOs have the purview to determine product use/approval IAW DoD policy and through RMF risk acceptance. Inputs into acquisition or pre-acquisition product selection include such processes as:

- National Information Assurance Partnership (NIAP) evaluation for National Security Systems (NSS) (<http://www.niap-ccevs.org/>) IAW CNSSP #11
- National Institute of Standards and Technology (NIST) Cryptographic Module Validation Program (CMVP) (<http://csrc.nist.gov/groups/STM/cmvp/>) IAW Federal/DoD mandated standards
- DoD Unified Capabilities (UC) Approved Products List (APL) (<http://www.disa.mil/network-services/ucco>) IAW DoDI 8100.04

## **2. CONCEPTS AND TERMINOLOGY CONVENTIONS**

The IBM DataPower Gateway is an integration platform and XML appliance for processing XML messages and any-to-any legacy message transformation. It also acts as an application security gateway for messages in XML and other formats. It is used to support service-oriented architectures. This platform is not intended as an enclave perimeter protection device and is intended to protect against XML specific threats.



### **3. GENERAL SECURITY REQUIREMENTS**

The implementation of the IBM DataPower STIGs occurs in two parts. The IBM DataPower NDM STIG is used for the configuration of the IBM DataPower network device management functions, while the IBM DataPower ALG STIG is used for the configuration of the device when used as an application layer gateway.